

## Annex B: Performance Monitoring Plan

Expected Results	Results Indicators	Base line	Targets		Outputs & Output Targets (2007)	Outputs & Output Targets (2008)	Method of Data Collection	Source of Data
			EOY 1	EOY 2				
Component I : WTO Accession and Post Accession								
Objective 1: Ukraine Accedes to the World Trade Organization								
Result 1.1 Completion of Ukraine's WTO accession proceedings in a timely manner	Working Party Adoption of Accession Package in the Final Meeting	No	Yes	Yes	> Summary review of each version of Draft Working Party Report > Memorandum summarizing Working Party Meetings		Tracking official information	Official declarations (gazette)
Result 1.2 VR ratification of WTO Membership; increase of public awareness of WTO and other commitments; assessment of accession impact	VR Ratification of WTO Membersip	No	Yes	Yes	> Analytical comparative report of Ukraine's commitments for supporting VR Hearing (update of report provided under previous WTO project) > Educational materials disseminated > Two events on WTO	> Educational materials disseminated > Two events on WTO	Tracking official information	Official declarations (gazette)
Result 1.3 Development of WTO Membership-Enabling legal and regulatory framework for foreign trade and investment and development of GOU capacity to develop and implement a sound foreign trade policy	WTO accession related legislation drafted	0	TBD (note all WTO required laws have been enacted unless new requests by WP)	TBD (note all WTO required laws have been enacted unless new requests by WP)	> Summary table of Forms filled in 2007 for the VR Committee on European Integration in reviewing Draft Laws for WTO conformity > Weekly Status Chart during Plenary sessions > Database of WTO-required Draft Laws in English and Ukrainian		Tracking the project record	Project record on document preparation and dissemination
Objective 2: Government of Ukraine Fulfills WTO Commitments								
Result 2.1 Development of SPS harmonization plan, with SPS Measures in line with WTO; improve GOU capacity to set standards for new goods and services; develop GOU Capacity to develop/implement a sound foreign trade policy; improve legal/regulatory framework for foreign trade; increase public awareness of WTO and other Commitments	SPS sublegal acts drafted	0	2	3	> Harmonization plan with Codex/OIE/IPPC prepared > On-the-job training on harmonization	> On-the-job training (meetings, advice) on harmonization and implementation of proposals and recommendations > 2 SPS related training events	Tracking the project record	Project record on document preparation and dissemination
	Percentage of food parameters brought in line with Codex	0%	10%	25%	> Proposals prepared to amend 4 SPS legal acts > Assessment of Laboratories needs		Tracking official information and project record	Official declarations (gazette and official databases)
	Percentage of animal health measures brought in line with OIE	0%	10%	25%	> Guidelines to obtain international recognized accreditation prepared > Operating procedures for SPS		Tracking official information and project record	Official declarations (gazette and official databases)
	Percentage of plant health measures brought in line with IPPC	0%	10%	25%	Notification/Enquiry Point prepared > Two SPS-related training events		Tracking official information and project record	Official declarations (gazette and official databases)
	Laboratories prioritized for international accreditation and porcess initiated	No	No	Yes			Tracking the project record	Project record on document preparation and dissemination and working group meetings

	SPS Enquiry / Notification points fully operational	No	Partial	Full			Tracking official information and project record	Official declarations and project data
<b>Result 2.2</b> Bringing TBT measures in line with WTO; increasing public awareness of TBT standards; and improving GOU capacity to set standards for goods and services	List of goods subject to mandatory certification reduced	0%	4%	8%	> Recommended Amendments to Law on Market Supervision > Recommended Amendments to Law on Accreditation and Conformity Assessment > recommended Amedments to Law on Consumer Protection > Proposals to reduce list of goods subject to mandatory certification > Procedures for reviewing technical regulations and process for converting mandatory standards prepared > Assessment of Laboratorie needs completed > Guidelines for joining ILAC and IAF prepared > On-the-job training (meetings, advice) on harmonization and implementation of proposals and recommendations	> On-the-job training (meetings, advice) on harmonization and implementation of proposals and recommendations > Proposals to update notification procedures > Two training events	Tracking official information and project record	Official declarations (gazette and official databases)
	TBT-compliant laws on Market Supervision , Consumer Protection, and Accreditation of Conformity Assessment Bodies presented to Parliament	0	1	3			Tracking the project record	Project record on document preparation and dissemination
	Standards/Technical Regulations Council operational	No	No	Yes			Tracking the project record	Minutes of the Council's meetings (project record)
	TBT Enquiry/Notification points operational	Partial	Partial	Full			Tracking official information and project record	Official declarations and project data
	Ukraine qualified for Membership in ILAC or IAF	No	No	Yes			Tracking official information and project record	Official declarations and project data
<b>Result 2.3</b> Regulatory Framework for HACCP Established	ISO 22000:2005 series adopted	No	No	Yes	> Action plan developed for HACCP regulatory framework > One Training event on SPS/TBT Trends > Two events for training trainers	> Two events for training trainers	Tracking official information	Official declarations (gazette)
	Number of entities qualified to be accredited in HACCP certification	0	1	3			Tracking the project record	Project record
	Number of trainers on path to deliver auditing training	0	5	10			Tracking the project record	Training and awareness events (project record)
<b>Result 2.4</b> Development of plan for combating corruption in GP; bring GOU GP practices in line with best international; prepare WTO GPA negotiations documents; increased public awareness on GP; putting WTO GPA accession well underway	Amendments to Law on Government Procurement drafted	0	0	1	> Roadmap for combatting corruption in government procurement prepared > Amendments for the Law on Government Procurement prepared > Framework for publishing GOU procurement legislation and tenders on a website proposed > On-the-job training on implementing recommendations	> WTO GPA Checklist updated > WTO Entity Table prepared > 1 training event on negotiating GPA > On-the-job training on implementing recommendations	Tracking the project record	Project record on document preparation and dissemination
	Ukraine prepared to initiate WTO GPA negotiations	No	No	Yes			Tracking official information and project record	Official declarations and project data
	Percentage reduction in sole sourcing	0	5%	10%			Tracking official information	Official declarations (gazette and official databases)

Note: This information is not available from open sources. It can only be obtained upon receiving official permission from the Head of the Tenders Chamber of Ukraine. Obtaining such information is unlikely.

	Ratio of procuring medical drugs by MOH with respect to Global Funds procurements reduced	No	No	Yes			Tracking official information	Official declarations (gazette and official databases)	Note: This information is not available from open sources. The Ministry of Health of Ukraine only publicizes total amounts it pays for specific drugs in tenders; prices per unit are not available. It makes it impossible to measure this indicator.
<b>Objective 3:</b> Ukraine's businesses benefit from Ukraine's Accession in WTO									
<b>Result 3.1</b> Participation of SME in foreign trade; improved capacity of food industry association to assist members in implementing HACCP; and private sector compliance with national and international standards and measures	SMEs adjusting to HACCP and SPS international standards- Model implementation	0	2	3	> Strategy and action plan for SME adjusting to SPS Standards and HACCP developed > Report on General Constraints and Solutions to adjust to Codex and HACCP > Manual for three food sectors on complying with Codex, OIE, and IPPC respectively	> HACCP University Course Materials > HACCP materials (e.g. ISO 22000 series) disseminated to business associations and food associations > 1 training event to food industry SME Representatives on complying with HACCP and International Standards > 1 train-the-trainers events to food associations on assisting their members in adopting HACCP and international standards	Tracking the project record	Project record	
	SMEs adjusting as a result of Model Rollout	0	5	50			Tracking the project record	Project record (a survey of businesses and business associations)	
	Percentage of revenue increase from assisted businesses	B.L.R.	5%	10%	> Manual for specific food subsectors to allow staged introduction of HACCP	> 1 train-the-trainers events to food associations on assisting their members in adopting HACCP and international standards	Tracking the project record	Project record (a survey of assisted businesses)	
	Exports as percentage of total revenue by assisted firms that implemented standards	B.L.R.	5%	10%	> Model based on best practices for adjusting to SPS and HACCP developed > HACCP materials (e.g. ISO 22000 series) disseminated to business associations and food associations	> Materials on complying with HACCP and international standards disseminated through websites and food associations and to farmers through NGOs	Tracking the project record	Project record (a survey of assisted businesses)	
	SMEs involved in business-business/Supply Chain	0	2	6	> 1 training event to food industry SME Representatives on complying with HACCP and International Standards > 1 train-the-trainers events to food associations on assisting their members in adopting HACCP and international standards > Materials on complying with HACCP and international standards disseminated through websites and food associations and to farmers through NGOs		Tracking the project record	Project record (a survey of assisted businesses)	
<b>Result 3.2</b> Increased private sector compliant with national and international standards and measures and private sector participation in standards definition and adoption	SMEs involvement through business associations in standards setting	No	Yes	Yes	> Guidelines for businesses to participate in standards settings and development of technical regulations	> 1 training event on ISO 9001:2000	Tracking the project record	Project record (a survey of business associations trained)	
	Percentage of surveyed SMEs reporting knowledge in new standards and benefits	B.L.R.	3%	10%			Tracking the project record	Project record (a survey of assisted businesses)	

<b>Result 3.3</b> Increased participation of SME in foreign trade as result of awareness about WTO opportunities and skills to access new markets	Percentage of surveyed SMEs reporting increased knowledge of WTO commitments, opportunities, and challenges	B.L.R.	5%	15%	> 2 seminars on rights/obligations/ops/challenges	> 2 seminars on rights/obligations/ops/challenges > 1 seminar using WTO/UNCTAD ITC Materials	Tracking the project record	Project record (a survey of assisted businesses)
<b>Result 3.4</b> Establishment of MinEcon Information Center as a WTO Information Clearinghouse; increased private sector awareness of WTO and other commitments, resulting in increased participation of SME in foreign trade	MinEconInfoCenter became a source of information for SMEs to increase their participation in international trade (team appraisal)	No	Partial	Yes	> Key WTO documents on MinEconInfoCenter Website > Procedures for MinEconInfoCenter to secure ongoing information on trade regulations and standards (incl. WTO/UNCTAD ITC)		Tracking official information	Data from the Ministry of Economy of Ukraine
<b>Component II: Improvement of Business Environment</b>								
<b>Objective 4:</b> Reduce the Cost of Doing Business in Ukraine								
<b>Result 4.1</b> Strengthened RPL capable of being enforced via reviewed provisions; increased awareness and capacity building of officials called to implement the law	Amended RPL legislation drafted	No	No	Yes	> 8 national/regional level WG meetings with public and private sectors to draft amendments > 2 working sessions to define revised VR rules > 2 working sessions to define revised CM rules > amendments to RPL drafted > draft amendments to laws are consistent with RPL	> 1 national level and 4 regional level WG meetings with public and private sectors to draft amendments > draft amendments to RPL > 1 trainings to public sector on new RPL procedures > draft amendments to rules of VR > draft amendments to rules of VR > draft amendments to rules of CM > 4 trainings to public sector on new RPL procedures > Recommendations on the competence, functions and internal status of their units responsible for enforcement of RPL > 1 trainings to judges on new authority based on RPL > 2 trainings to public sector on new RPL procedures > 1 trainings to judges on new authority based on RPL	Tracking the project record	Project record on document preparation and dissemination; minutes of working group meetings
	Amended Internal rules of VR, and CM, consistent with RPL, drafted	No	No	Yes			Tracking the project record	Project record on document preparation and dissemination; minutes of working group meetings
	Amendments to selected laws to make them consistent with RPL drafted	No	Yes	Yes			Tracking the project record	Project record on document preparation and dissemination; minutes of working group meetings
	Central and regional executive bodies staff self-reporting understanding and competence for enforcement of new RPL procedures	0%	0%	40%			Tracking the project record	Project record (a survey of public officials participating in trainings)
	Judges self-reporting understanding of handling RPL violation cases according to new procedures	0%	0%	25%			Tracking the project record	Project record (a survey of public officials participating in trainings)

<b>Result 4.2</b> Improved RIA and RIE implementation, criteria, and adoption of methodologies at all levels and key governmental entities (national, regional, and local), a private sector trained on the application of RIA and RIE, and publication of relevant regulations	Improved RIA and RIE guidelines and methodology (including RIA and RIE quality criteria) prepared	No	Yes	Yes	> Amendments to methodology of RIA and RIE > Guidelines to cost benefit analysis under RIA > Criteria for assessing the quality of RIAs > 2 working sessions on RIA/RIE-tailored operational processes > 3 trainings to national/regional bodies on RIA and RIE > 10 hands on trainings	> 2 working sessions on RIA/RIE-tailored operational processes > 3 trainings to national/regional bodies on RIA and RIE > 10 hands on trainings	Tracking the project record	Project record on document preparation and dissemination; minutes of working group meetings
	National and regional authorities self-reporting improved knowledge of RIA and RIE application	0%	20%	35%	> 2 working sessions on RIA/RIE-tailored operational processes > 3 trainings to national/regional bodies on RIA and RIE		Tracking the project record	Project record ( a survey of public officials participating in trainings)
	Increased share of RIAs that meet the new quality criteria	0%	0%	10%			Tracking official record	Data from the State Committee on Regulatory Policy and Entrepreneurship
<b>Result 4.3</b> Reformed, strengthened and effective entity for oversight of regulatory policy	A plan plan to help SCRPE evolve into a more effective entity developed (if feasible)	No	Yes	Yes	> Action plan of transforming SCRPE into more effective entity > Recommendations on non-regulatory work transfer to relevant government entities	> Technical assistance and 4 staff trainings for new entity > 1 governance manual for the new entity	Tracking official information	Official declarations (gazette)
	Percentage of new trained staff working at the new regulatory oversight entity	0%	0%	50%			Tracking the project record	Project record on number of NCRP staff trained
<b>Result 4.4</b> Improved regulations applicable to selected economic sectors (spheres); increased GOU accountability; laws and regulations coordinated at national and regional levels; business-unfriendly laws and regulations identified and amendments underway	Number of national and regional regulations reviewed	0	0	250	> 5 working groups (GOU and private sector) operational > 5 sessions with GoU to establish accountability for QDR > Draft legislation and methodology on implementation of 2nd phase of QDR	> 20 national and regional WG meetings on results review and recommendations > 10 baseline and follow-up surveys to measure QDR impact > 1 national conference on QDR results > Drafted legislation to repeal/amend business unfriendly regulations	Tracking the project record	Minutes of the working groups meetings (project record)
	Number of national and regional regulations identified as being not business-friendly and burdensome for entrepreneurs	0	0	80			Tracking the project record	Minutes of the working groups meetings (project record)
	Number of business-unfriendly regulations to be repealed or amended by relevant drafted legislation	0	0	40			Tracking the project record	Project record on document preparation and dissemination; minutes of working group meetings
<b>Result 4.5</b> Active participation by strengthened private sector and business associations in regulatory reform, providing comments on draft legislation, and engaging in information dissemination activities via information sessions and website development	Number of SMEs self-reporting increased knowledge of reform process	0%	10%	40%	> Strategic communications plan implemented > Maintenance of database of professional associations	> Maintenance of database of professional associations > Private sector participates in Second Phase of QDR working groups activities	Tracking the project record	A survey of SMEs (project record)
	Number of SMEs self-reporting active participation in regulatory reform	20.9%	22%	25%	> Private sector participates in drafting RPL amendments, and improved RIA and RIE methodology, Second Phase of QDR working groups activities > Public awareness campaign designed and implemented	> Databases of draft/adopted laws, RIAs, RIEs, reform status reviewed, redesigned if required, and implemented	Tracking the project record	A survey of SMEs (project record)
<b>Note:</b> Upon confirmation from USAID another indicator will be added. The indicator is "Number of legal, regulatory, or institutional actions taken to improve implementation or compliance with international trade and investment agreements partly or fully due to USG-assisted organizations".								